(5) THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

John Frederick Parker et al.

Serial No. 09/977,804

Filed October 15, 2001

TURBINE

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Before the Examiner

) Thai Ba Trieu

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Group Art Unit 3748

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Our Ref.: 27049-16

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on

November 3, 2004

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John H. Allie

Name of Registered Representative

Signature

November 3, 2004

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INFORMATION DISCLOSURE STATEMENT RECEIVED

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TECHNOLOGY CENTER R3700

Sir:

Pursuant to the duty of disclosure embodied in 37 C.F.R. §1.56, Applicants wish to bring to the attention of the Examiner the references listed on the enclosed Information Disclosure Statement. These references have not been previously submitted in this application nor heretofore cited by the examiner. Copies of the references cited on the enclosed 1449 form are enclosed herewith.

The filing of this Information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, material to the patentability as defined in §1.56(b).

This Statement is being submitted prior to the mailing of any final action, Notice of Allowance, or an action that otherwise closes prosecution. A Credit Card Payment Form in the RHE 00000009 09977804

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requisite amount for 37 C.F.R. §1.17(p) is included herewith. Please charge any additional fee to Deposit Account No. 23-3030, but not to include any payment of issue fees.

Respectfully submitted,

John H. Allie

Reg. No. 39,088

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Substitute for	r form 1449A	/PTO		Application Number	09/977,804		
INFO	RMATION	DISCLO	OSURE	Filing Date	October 15, 2001		
STAT	EMENT B	SY APPL	ICANT	First Named Inventor	John Frederick Parker et al.		
				Group Art Unit	3748		
(use as many sheets as necessary)				Examiner Name	Thai Ba Trieu		
Sheet	1	of	1	Attornev Docket Number	27049-16		

			U.S. PATEN	T DOCUMENTS		
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines where Relevant Passages or Relevant	
Initials*	Cite No. ¹	Number-Kind Code ² (If known)	MM-DD-YYYY	Application of Cited Document	Figures Appear	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁶ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т
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Examiner	Date
Signature	Considered

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Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the emperor must precede the serial number of the patent document. ⁵ Kind of document by the ap0propriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



027049-000016.JHA.291958 WEMMH/SB/08B (4/03) Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449B/PTO				Complete if Known			
Substitute it	01 101111 14491	D/F I U		Application Number	09/977,804		
INFORMATION DISCLOSURE				Filing Date	October 15, 2001		
STAT	STATEMENT BY APPLICANT			First Named Inventor John Frederick Parker et al.			
				Group Art Unit	3748		
(USE	(use as many sheets as necessary)			Examiner Name	Thai Ba Trieu		
Sheet	1	of	1	Attorney Docket Number	27049-16		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher city and/or country where published	T²
		Patent Abstracts of Japan, JP 63159620, Okada Masaki, POWER TRANSMITTING MECHANISM FOR POWER TURBINE, 07-02-1988.	
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.



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Branch al The Hague Search division Office européen des brevets

Département à La Haye Division de la recherche

Holmes, Matthew Peter MARKS & CLERK, Sussex House, 83-85 Mosley Street Manchester M2 3LG GRANDE, BRETAGNE

26 APR 2004

23.04.04

Zeichen/Ref/Ref/MO03676PEP

Anmeldung Nr/Application No/Demande n°/Palent Nr./Patent No/Brever n°. 01308708.5-2315-

Anmelder/Applicant/Demandeur/Patentinhabes/Proprieton/Titulaire
HOLSET ENGINEERING COMPANY LIMITED

COMMUNICATION

The European Patent Office herewith transmits as an enclosure the European search report for the above-mentioned European patent application.

If applicable, copies of the documents cited in the European search report are attached.

Additional set(s) of copies of the documents cited in the European search report is (are) enclosed as well.

The following specifications given by the applicant have been approved by the Search Division:

Abstract

☐ title

The abstract was modified by the Search Division and the definitive text is attached to this communication.

The following figure will be published together with the abstract:

1

REFUND OF THE SEARCH FEE

If applicable under Article 10 Rules relating to fees, a separate communication from the Receiving Section on the refund of the search fee will be sent later.





EUROPEAN SEARCH REPORT

Application Number

EP 01 30 8708

Category	Citation of document with of relevant pa	indication, where appropriate, ssages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.CI.7)
A	US 4 622 818 A (KA 18 November 1986 (* column 2, line 5 * column 4, line 6 * abstract; figure	1986-11-18) 1 - column 4, line 9 * 0 - column 5, line 17 *	1-6	F01D25/16 F02B41/10
	PATENT ABSTRACTS 0 vol. 012, no. 424 10 November 1988 (& JP 63 159620 A (2 July 1988 (1988-0 * abstract; figures	(M-761), 1988-11-10) ISUZU MOTORS LTD), 07-02)	1-5	
	2 July 1968 (1968-() - column 2. line 26 *	1,2,4	
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T	he present search report has b	een drawn up for all claims		
	nonsee to appl	Date of completion of the search		Exeminer
Ti	HE HAGUE	15 April 2004	0'55	ea, G
X : particuli Y : particuli docume 4 : technoli	EGORY OF CITED DOCUMENTS and relevant if taken alone arry relevant if combined with anothe int of the same category optical background iten disclosure	T : theory or principle u E : earlier patent docur	inderlying the invitent, but published application other reasons	ention

EPDFCAM 1503 03.82 (FOCCOL)

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ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

EP 01 30 8708

This annex lists the patent family members relating to the patent documents died in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

15-04-2004

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